



MERCER



MARSH MERCER KROLL
GUY CARPENTER OLIVER WYMAN



Mercer ExtraTextual™



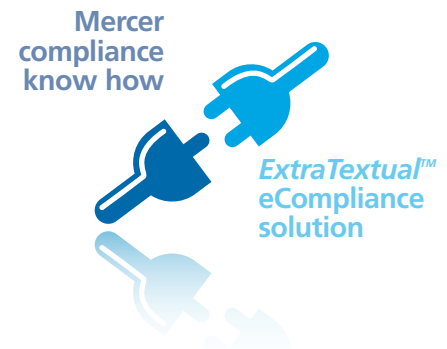
Consulting. Outsourcing. Investments.

The background of the lower half of the page is a close-up, shallow depth-of-field photograph of a computer keyboard and network ports. In the foreground, several network cables are plugged into a switch or router. From left to right, there are two white cables, a clear Ethernet cable, a white USB cable, and a blue Ethernet cable. The keyboard keys are visible in the background, slightly out of focus.



Mercer and InterTextual combining to provide eCompliance solutions

Mercer, a compliance solutions leader, has collaborated with InterTextual, compliance management systems and software specialists, to provide an integrated compliance service, Mercer ExtraTextual™.



About ExtraTextual

ExtraTextual is a web-based eCompliance management solution that automatically manages the compliance process by assigning specific preloaded compliance requirements and tasks to individuals, and then subsequently monitors the progress to completion of each compliance task. All the compliance requirements are regularly updated to reflect the latest legislative changes and a simple but powerful reporting function also allows trustees to assess the real time compliance status of their fund and meet their compliance reporting requirements.

ExtraTextual contains a complaints management system and comprehensive incident and breach management module. The incident and breach module provides complete support, from notification of an incident or breach, to tracking its assessment, and rectification and reporting. The customisable complaints and breach registers allow the trustee to track progress of issues in real time.

Mercer and InterTextual can deliver your customised compliance plans on Mercer ExtraTextual™ with periodic compliance updates that respond to legislative changes, ensuring that the compliance system remains up-to-date and meets your individual organisation's compliance obligations.

ExtraTextual™ provides a management solution for a trustee's governance, compliance and risk requirements.

This integrated compliance solution provides clients with a complete end to end compliance system, with Mercer's compliance 'know how' and resources providing continuous compliance updates, and ExtraTextual™ providing a streamlined 'paperless' compliance monitoring and reporting system.

InterTextual's service offering includes:

- Licence to access ExtraTextual, a web-based compliance service incorporating hosting service, data housing etc licensing regimes
- Initial and ongoing training along with help for all client users of ExtraTextual
- Assistance with administration of the ExtraTextual system.

Mercer's service offering includes:

- Mercer's Compliance Plan and 18 checklist modules covering:
 - RSE licensing including trustee compliance with SIS Act/Regulations, Corporations Act and other legislation relevant to RSE compliance and breach reporting
 - AFS Licence requirements, including a module for assessment of individual authorised representatives under an AFS Licence and comprehensive guidance on monitoring and auditing of authorised representatives



- Anti-Money Laundering and Counter Terrorism Financing Act requirements
- Defined benefit fund obligations
- Public offer fund obligations
- Assistance with the initial customisation and set-up of options for the check list modules which are pre-installed on ExtraTextual
- Gap analysis to identify the specific compliance requirements appropriate for the Fund and liaison with the trustee's compliance reporting officer(s) to identify how individual compliance areas are monitored. Also, assistance in designing reporting and monitoring frameworks for those compliance areas within the structure established by the Mercer services
- A regular update service covering legislative changes for all checklist modules and compliance items over the life of the contract, including notification of all changes

Enterprise risk management

Also available is the ExtraTextual Enterprise Risk Management module, which allows trustees to allocate risks to individuals and automatically manages the risk monitoring and review process.

Key features of the ExtraTextual Enterprise Risk Management module include:

- Integration with the compliance module
- Compliant with Australian Standard AS/NZS 4360
- A detailed risk register
- Powerful and easily understood reporting including multi-filtering options and graphs
- A risk matrix which can be updated as required to meet your risk appetite
- Access to survey questionnaires
- The ability to customise to meet changing risk requirements

About Mercer

Mercer is a leading global provider of consulting, outsourcing and investment services, with more than 25,000 clients worldwide. Mercer consultants help clients design and manage health, retirement and other benefits and optimize human capital. The firm also provides customized administration, technology and total benefit outsourcing solutions. Mercer's investment services include global leadership in investment consulting and multi-manager investment management.

Mercer's global network of more than 19,000 employees, based in over 40 countries, ensures integrated, worldwide solutions. Our consultants work with clients to develop solutions that address global and country-specific challenges and opportunities. Mercer is experienced in assisting both major and growing, mid-size companies.

For further information, visit www.mercer.com

About InterTextual

InterTextual is an Australian company specialising in risk and compliance management systems and software. The business started in 1998 in reaction to the increasing complexity of regulations and legislation facing the Financial Services Industry. A comprehensive solution to increasingly complex compliance legislation was needed and InterTextual has been dedicated to delivering this ever since.

For further information, visit www.intertextual.com.au

MERCER



MARSH MERCER KROLL
GUY CARPENTER OLIVER WYMAN

Contact us

Mercer

Brent Tulk

Principal

+61 3 9623 5422

brent.tulk@mercer.com

InterTextual

John W K Taylor

Executive Director

1300 781 031

john.taylor@intertextual.com.au